

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,  
  
Debtors.

Chapter 11 Case No.

08-13555 (JMP)  
(Jointly Administered)

Ref. Docket No. 31919, 31925,  
32090, 32092, 32094, 32097, 32104,  
32105, 32107, 32108, 32110, 32114-  
32121, 32123, 32125, 32127, 32131,  
32132, 32134-32139

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 21, 2012, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
28<sup>th</sup> day of November, 2012

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, LP.  
TRANSFEROR: DUNGAN PARTNERS, LP  
C/O ALDEN GLOBAL CAPITAL  
ATTN: CHRIS SCHOLFIELD  
885 THIRD AVENUE  
NEW YORK NY 10022

Please note that your claim # 47110-01 in the above referenced case and in the amount of  
\$589,341.50 allowed at \$588,928.89 has been transferred (unless previously expunged by court order)

ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, LP  
TRANSFEROR: ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, LP.  
C/O ALDEN GLOBAL CAPITAL  
ATTN: CHRIS SCHOLFIELD  
885 THIRD AVENUE  
NEW YORK NY 10022

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 32107 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/21/2012

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 21, 2012.

## **EXHIBIT B**

TIME: 19:57:02  
DATE: 11/21/12

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

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Name	Address	ATTN:
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, LP	TRANSFEROR: ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, LP. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022	CHRIS SCHOLFIELD 885 THIRD AVENUE
ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, LP	TRANSFEROR: DUNGAN PARTNERS, LP C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022	CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022
BANK LEUMI USA	ATTN: DONALD BITTKER 562 FIFTH AVENUE NEW YORK NY 10036	
CHIMNEY ROCK VALUE FUND, L.P.	ERIC RUIZ DAVIS POLK & WARDWELL LLP 450 LEXINGTON AVENUE NEW YORK NY 10017-3904	
CHIMNEY ROCK VALUE FUND, L.P.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH ATTN: BEAU LESCOTT 350 PARK AVENUE NEW YORK NY 10022	
CHIMNEY ROCK VALUE FUND, LP	ERIC RUIZ DAVIS POLK & WARDWELL LLP 450 LEXINGTON AVENUE NEW YORK NY 10017-3904	
CITIGROUP FINANCIAL PRODUCTS INC	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH ATTN: BEAU LESCOTT 350 PARK AVENUE NEW YORK NY 10022	
CITIGROUP FINANCIAL PRODUCTS INC	ELANIT SNOW PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019-6064	
CITIGROUP FINANCIAL PRODUCTS INC	ELANIT SNOW PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019-6094	
CITIGROUP FINANCIAL PRODUCTS INC	TRANSFEROR: SOLUS RECOVERY FUND LP ATTN: SCOTT EVAN 390 GREENWICH STREET, 4TH FLOOR NEW YORK NY 10013	
CITIGROUP FINANCIAL PRODUCTS INC	TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP ATTN: SCOTT EVAN 390 GREENWICH STREET, 4TH FLOOR NEW YORK NY 10013	
CITIGROUP FINANCIAL PRODUCTS INC	ELANIT SNOW PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019-6064	
CITIGROUP FINANCIAL PRODUCTS INC	TRANSFEROR: SOLUS RECOVERY FUND LP ATTN: SCOTT EVAN 390 GREENWICH STREET, 4TH FLOOR NEW YORK NY 10022	
CITIGROUP FINANCIAL PRODUCTS INC	TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP ATTN: SCOTT EVAN 390 GREENWICH STREET, 4TH FLOOR NEW YORK NY 10013	
CLAREN ROAD CREDIT MASTER FUND, LTD.	C/O CLAREN ROAD ASSET MANAGEMENT, LLC ATTN: AL MARINO 900 THIRD AVENUE, 29TH FLOOR NEW YORK NY 10022	
CLAREN ROAD CREDIT MASTER FUND, LTD.	C/O SEWARD & KISSEL LLP ATTN: JUSTIN L. SHEARER, ESQ. ONE BATTERY PARK PLAZA NEW YORK NY 10004	
CVI GVF LUXEMBOURG TWELVE SARL	TRANSFEROR: ALLIANZ RISK TRANSFER AG (FORMERLY ALLIANZ RISK TRANSFER) C/O CARVAL INVESTORS UK LIMITED ATTN: DAVID SHORT/MATT SHIPTON 3RD FLOOR, 25 GREAT PULTENEY STREET LONDON W1F 9LT UNITED KINGDOM	DAVID SHORT/MATT SHIPTON
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CLAREN ROAD CREDIT MASTER FUND, LTD. ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET LONDON EC2N 2DB UK	
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: DEUTSCHE POSTBANK AG ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET LONDON EC2N 2DB UNITED KINGDOM	
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: TORONTO-DOMINION BANK, THE ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET LONDON EC2N 2DB UK	
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: ARISTEIA MASTER, L.P. C/O DEUTSCHE BANK SECURITIES INC ATTN: MATT WEINSTEIN 60 WALL ST 3RD FL NEW YORK NY 10005	
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: ARISTEIA SPECIAL INVESTMENTS MASTER LP C/O DEUTSCHE BANK SECURITIES INC ATTN: MATT WEINSTEIN 60 WALL ST 3RD FL NEW YORK NY 10005	
DEUTSCHE BANK SECURITIES, INC.	TRANSFEROR: HANK'S LIVING TRUST ATTN: MATT WEINSTEIN 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005	
GOLDMAN SACHS LENDING PARTNERS, LLC	TRANSFEROR: YORK GLOBAL FINANCE BH, LLC C/O GOLDMAN, SACHS & CO. ATTN: RICK CANONICO 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302	
HABIB BANK AG ZURICH	WEINBERGSTRASSE 59 ZURICH 8006 SWITZERLAND	
HALCYON LOAN TRADING FUND LLC	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS, LLC C/O HALCYON ASSET MANAGEMENT - M SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022	
HCN LP	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS, LLC C/O HALCYON ASSET MANAGEMENT - M SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022	
HLF LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC HALCYON ASSET MANAGEMENT LLC ATTN: MATT SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022	
HLF LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC HALCYON ASSET MANAGEMENT LLC ATTN: MATT SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022	
HLF LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC. HALCYON ASSET MANAGEMENT LLC ATTN: MATT SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022	
HLF LP	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS, LLC C/O HALCYON ASSET MANAGEMENT - M SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022	
KNIGHTHEAD MASTER FUND, LP	TRANSFEROR: KNOLL, PHOEBUS	
KNOLL, PHOEBUS	TRANSFEROR: YORVIK PARTNERS LLP C/O KNIGHTHEAD CAPITAL MGMT - L. TORRADO 1140 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK NY 10036	
LMA SPC FOR AND ON BEHALF OF THE MAP 84 SEGREGATED PORTFOLIO	87 BEN YEHUDAH ST. TEL AVIV 63437 ISRAEL	
NOTENSTEIN PRIVATEBANK AG	TRANSFEROR: YORVIK PARTNERS LLP C/O KNIGHTHEAD CAPITAL MANAGEMENT ATTN: LAURA TORRADO, ESQ. 1140 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK NY 10036	
NUVERSE ADVISORS LLC	TRANSFEROR: UBS AG BOHL 17 ST. GALLEN CH-9004 SWITZERLAND	
PROMOTORA DE INVERSIONES FLUMEN, S.A.	TRANSFEROR: KNOLL, PHOEBUS 645 FIFTH AVENUE NEW YORK NY 10128	
PROMOTORA DE INVERSIONES FLUMEN, S.A.	ALTEGUI GESTION A.V. S.A. CL GRAN VIA 40BIS, 6 DCHA BILBAO VIZCAYA 48009 SPAIN	
QUEENSFERRY L LLC	CL MUELLE TOMAS OLABARRI 5, 3 IZQ GUECHO VIZCAYA 48930 SPAIN	
RUST BELT HOLDINGS, LLC	TRANSFEROR: CVI GVF LUXEMBOURG TWELVE SARL C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019	
RUST BELT HOLDINGS, LLC	ALICE BELISLE EASTON PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019-6064	
RUST BELT HOLDINGS, LLC	ALICE BELISLE EATON PAUL, WEISS, RIFKIND, WHARTON, & GARRISON LLP 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019-6064	
SOLUS RECOVERY FUND LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH P.O. BOX 8155 NEW YORK NY 10150	
	TRANSFEROR: DEUTSCHE BANK SECURITIES, INC. C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022	

EPIQ BANKRUPTCY SOLUTIONS, LLC

TIME: 19:57:02  
DATE: 11/21/12

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

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Name	Address
SOLUS RECOVERY FUND OFFSHORE MASTER LP	TRANSFEROR: DEUTSCHE BANK SECURITIES, INC. C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
TORONTO-DOMINION BANK, THE	C/O PATRICK L. HAYDEN MCGUIREWOODS LLP 1345 AVE. OF THE AMERICAS, 7TH FLOOR NEW YORK NY 10105
UBS AG	BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, OQ9C/OSGC P.O. BOX ZURICH 8098 SWITZERLAND
UBS FINANCIAL SERVICES INC.	TRANSFEROR: BANK LEUMI USA 1000 HARBOR BLVD. WEEHAWKEN NJ 07086
YORVIK PARTNERS LLP	TRANSFEROR: HABIB BANK AG ZURICH ATTN: B. RANA 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM
YORVIK PARTNERS LLP	TRANSFEROR: PROMOTORA DE INVERSIONES FLUMEN, S.A. ATTN: B. RANA 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM

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